



NKTIREC FORM 1.3: CONFIDENTIALITY AND CONFLICT OF INTEREST AGREEMENT

Know all Men by these Presents:

In view of the appointment of (TITLE NAME INSTITUTIONAL AFFILIATION), as a member of the **NKTI** REC, and hereinafter referred to as the **Undersigned**, and
Whereas:

the **Undersigned** has been asked to assess research studies and protocols involving human subjects in order to ensure that the same are conducted in a humane and ethical manner, with the highest standards of care according to the applied national and local laws and regulations, institutional policies and guidelines;

the appointment of the **Undersigned** as a member of the NKTI REC is based on individual merits and not as an advocate or representative of a home province/territory/ community nor as the delegate of any organization or private interest;
the fundamental duty of an REC member is to independently review both scientific and ethical aspects of research protocols involving human subjects and make a determination and the best possible objective recommendations, based on the merits thereof under review; and

the **NKTI** REC must meet the highest ethical standards in order to merit the trust and confidence of the communities in the protection of the rights and well-being of human subjects;

The following terms and conditions covering **Confidentiality and Conflict of Interest** arising in the discharge of said appointed REC member's functions, are hereby stipulated in this Agreement for purposes of ensuring the same high standards of ethical behavior necessary for the REC to carry out its mandate.

Confidentiality

This Agreement thus encompasses any information deemed Confidential, Privileged, or Proprietary provided to and/or otherwise received by the **Undersigned** in conjunction with and/or in the course of the performance of his/her duties as a member/Independent Consultant of the **NKTI** REC.

Any written information provided to the **Undersigned** that is of a Confidential, Privileged, or Proprietary in nature shall be identified accordingly. Written Confidential information provided for review shall not be copied or retained. All Confidential information (and any copies and notes thereof) shall remain the sole property of the REC.

As such, the **Undersigned** agrees to hold in trust and in confidence all Confidential, Privileged or Proprietary information, including trade secrets and other intellectual property rights (hereinafter collectively referred to as the "information"). Moreover, the **Undersigned** agrees that the information shall be used only for contemplated purposes and none other. Neither shall the said information be disclosed to any third party.



The **Undersigned** further agrees not to disclose or utilize, directly or indirectly, any information belonging to a third party, in fulfilling this agreement. Furthermore, the **Undersigned** confirms that her performance of this agreement is consistent with **NKTI** policies and any contractual obligations owed to third parties.

Conflict of Interest

It is recognized that the potential for conflict of interest will always exist; however, there is concomitant faith in the ability of the REC to manage these conflict issues, if any, in such a way that the ultimate outcome of the protection of human subjects remains.

It is the policy of the REC that no member/consultant may participate in the review, comment or approval of any activity in which he/she has a conflict of interest except to provide information as requested by the REC.

The **Undersigned** will immediately disclose to the Chair of the **NKTI** REC any actual or potential conflict of interest that he/she may have in relation to any particular proposal submitted for review by the REC, and to abstain from any participation in discussions or recommendations in respect of such proposals.

If an applicant submitting a protocol believes that an REC member has a potential conflict, the investigator may request that the member be excluded from the review of the protocol.

The request must be in writing and addressed to the Chair. The request must contain evidence that substantiates the claim that a conflict exists with the REC member(s) in question. The REC may elect to investigate the applicant's claim of the potential conflict.

When a member/consultant has a conflict of interest, the member should notify the Chairperson and may not participate in the REC review or approval except to provide information requested by the Board.

Examples of conflict of interest cases may include but is not limited to any of the following:

- A member/consultant is involved in a potentially competing research program.
- Access to funding or intellectual information may provide an unfair competitive advantage.
- A member's/consultant's personal biases may interfere with his or her impartial judgment.

Agreement on Confidentiality and Conflict of Interest

[To the **Undersigned**: Please sign and date this Agreement, if you agree with the terms and conditions set forth above. The original (signed and dated Agreement) will be kept on file in the custody of the **NKTI** REC. A copy will be given to you for your records.]

In the course of my activities as a member of the **NKTI** REC, I will be provided with confidential information and documentation (which we will refer to as the "Confidential Information"). I agree to take reasonable measures to protect the Confidential Information, subject to applicable legislation, not to disclose the Confidential Information to any



person; not to use the Confidential Information for any purpose outside the Board's mandate, and in particular, in a manner which would result in a benefit to myself or any third party; and to return all Confidential Information (including any minutes or notes I have made as part of my Board duties) to the Chair upon termination of my functions as an REC member.

Whenever I have a conflict of interest, I shall immediately inform the Chair not to count me toward a quorum for voting.

I have read and accept the aforementioned terms and conditions as explained in this Agreement.

Title/Name

Date

NKTi REC Chair

Date